

DOCKET NO.: ATTW01-00017 (formerly 2000-0107)
CUSTOMER NO.: 34700

D.J.
#12 6-15-04
IDS w/refs
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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JUN 09 2004

Technology Center 2600

In re application of: UMESH J. AMIN, ET AL.

Serial No.: 09/707,671

Filed: November 7, 2000

For: ACTIVATION AND REMOTE MODIFICATION OF WIRELESS
SERVICES IN A PACKET NETWORK CONTEXT

Group No.: 2683

Examiner: A.T. Harry

MAIL STOP AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

06/08/2004 LWONDIM1 00000038 09707671

01 FC:1806 180.00 DP

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. § 1.56, Applicant submits this statement.

This submittal is made in accordance with 37 C.F.R. §§ 1.97 and 1.98 and § 609 of the Manual of Patent Examining Procedure. The patents, publications and other information herein are listed below and on the attached Form PTO/SB08/A&B. Copies of the listed references are submitted herewith.

U.S. Patent No.

Inventor

Date

5,854,982

Chambers, et al.

12-29-1998

5,887,253	O'Neil, et al.	03-23-1999
5,937,352	Courtney, et al.	08-10-1999
5,954,817	Janssen, et al.	09-21-1999
5,956,636	Lipsit	09-29-1999
6,073,015	Berggren, et al.	06-06-2000
6,097,963	Boltz, et al.	08-01-2000
6,393,298	Fulton	05-21-2002
6,591,098	Shieh, et al.	07-08-2003
US-2003-0083068-A1	Wong	05-01-2003

Other Documents

Vedder, "The Subscriber Identity Module," 8 pages [downloaded from the World Wide Web on September 12, 2001].

International Telecommunications Union, ITU-T Recommendation E.212, "The International Identification Plan for Mobile Terminals and Mobile Users," 11 pages (November 1998).

Heine, GSM Networks: Protocols, Terminology, and Implementation, Artech House, pages iii-xi, 1-18, 31-38, 125-170, 185-273, and 407-416 (1999).

"GSM Architectures and Interfaces," 20 pages (1998).

"The SS7 Signaling Connection Control Part Relay System," 12 pages [downloaded from the World Wide Web on May 16, 2002].

"Introduction to GSM," 6 pages [downloaded from the World Wide Web on May 14, 2002].

"Centrex, Feature Library," 1 page [downloaded from the World Wide Web on May 15, 2002].

"GSM - Global System for Mobile Communication," 3 pages [downloaded from the World Wide Web on May 15, 2002].

Scourias, "A Brief Overview of GSM," 11 pages [downloaded from the World Wide Web on May 16, 2002].

"Understanding Telecommunications," 21 pages [downloaded from the World Wide Web on May 16, 2002].

Christensen, "Mobile Networking," 6 pages (2000).

Applicant hereby expressly reserves the right to swear behind the effective dates of any of the above Patents and to question the relevance and materiality of the Patents and Publications listed herein, in whole, in part, or in combination, subsequent to filing this Information Disclosure Statement.

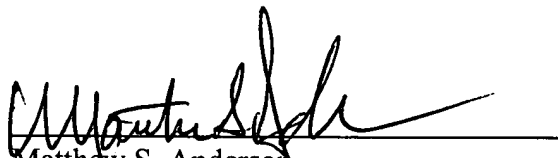
This Information Disclosure Statement is being transmitted after the mailing date of the first Office Action on the merits. Therefore, Applicant encloses a check in the amount of \$180.00 for the Information Disclosure Statement filing fee.

Respectfully submitted,

DAVIS MUNCK, P.C.

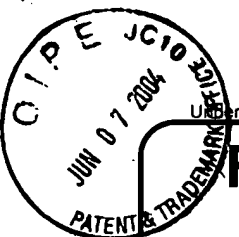
Date: _____

6/4/4



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Registration No. 39,093

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Fax: (972) 628-3616
email: manderson@davismunck.com



FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180.00

Complete if Known

Application Number 09/707,671
Filing Date November 7, 2000
First Named Inventor Umesh J. Amin, et al.
Examiner Name A.T. Harry
Art Unit 2683
Attorney Docket No. ATTW01-00017 (formerly 2000-0107)

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METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 50-0208

Deposit Account Name Davis Munck

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$) -0-

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims		Fee from	Fee Paid
Total Claims	<input type="text"/>	-20** =	<input type="text"/>	below <input type="text"/>	<input type="text"/>
Independent Claims	<input type="text"/>	-3** =	<input type="text"/> <td><input type="text"/><td><input type="text"/></td></td>	<input type="text"/> <td><input type="text"/></td>	<input type="text"/>
Multiple Dependent				<input type="text"/>	<input type="text"/>

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple dependent claim, if not paid	
1204	86	2204	43	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$) -0-

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180.00
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 180.00

SUBMITTED BY

Name (Print/Type) Matthew S. Anderson, Esq. Registration No. 39,093 Telephone 972-628-3600
Signature [Signature] (Attorney/Agent) Date 6/4/04

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

DOCKET NO.: ATTW01-00017 (formerly 2000-0107)
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Group No. : 2683
Examiner : A.T. Harry

MAIL STOP AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

The undersigned hereby certifies that the following documents:

1. Information Disclosure Statement;
2. Form PTO/SB/08A&B;
3. Twenty-one (21) references as cited on Information Disclosure Statement;
4. Fee Transmittal for FY 2004 (in duplicate);
5. Check in the amount of \$180.00; and
6. A postcard receipt;

relating to the above application, were deposited as "First Class Mail" with the United States Postal Service, addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on JUNE 4, 2004.

Date: 6/4/04

Kathleen DeWane
Mailer

Date: 6/4/4

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